



LECTURE

Software Process Models

Plan-driven Process Models

Suleman Shahid

Based on Slides from

Dr. Maryam Abdul Ghafoor

CS 360 - Software Engineering (Spring 2026)

LAHORE UNIVERSITY OF
MANAGEMENT SCIENCES



1

Plan-driven Process Models

- Waterfall Model
- Prototype Model
- Spiral Model
- V Model
- And more

CS360 Spring 2026

LUMS

2

“Good people with a good process will outperform
good people with no process every time.

-Grady Booch



3

Waterfall Model

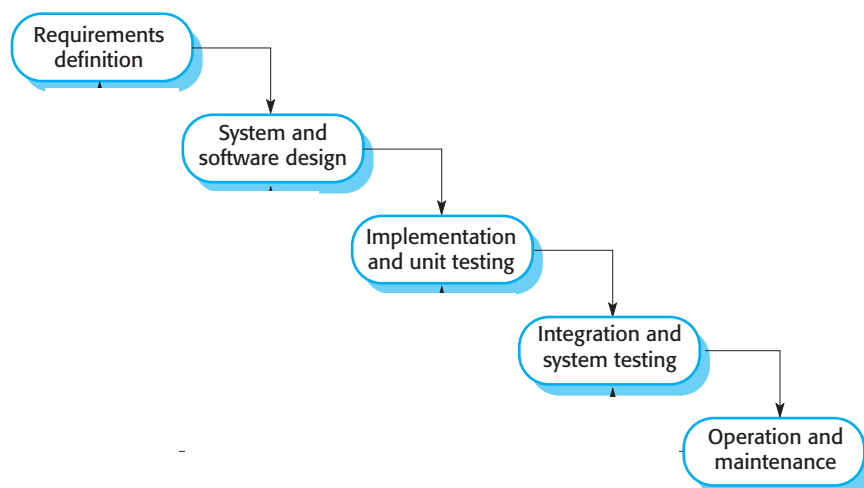


4

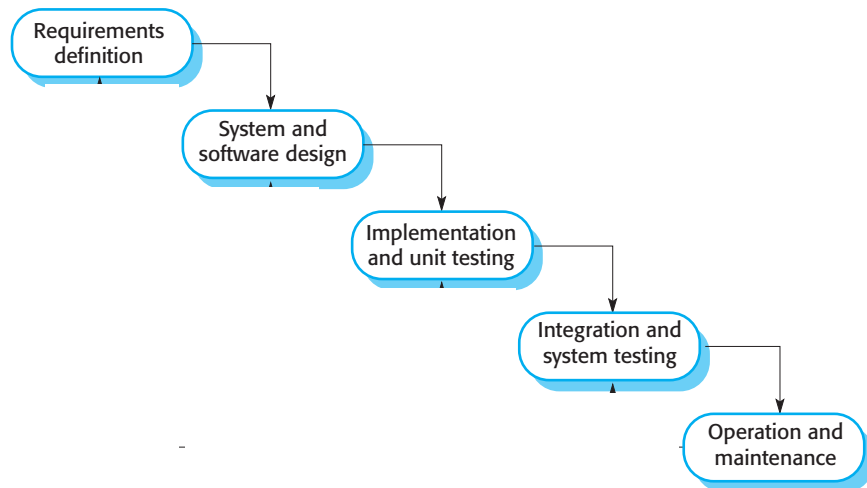
Waterfall Model

- A sequential, plan-driven process that requires planning and scheduling of all activities before starting the project.
 - It follows a linear and rigid approach, where each phase must be completed before the next one begins.
- This model is characterized by distinct phases of specification, development, testing, and maintenance.

Waterfall Model



Waterfall Model



Waterfall Model – Advantages

- Clear and well-defined requirements
 - The structured approach makes it easier to manage and understand the project's progress.
- Documentation is a key focus, ensuring that all project requirements and processes are well-documented.

Waterfall Model – Limitations

- **Lack of flexibility** to accommodate changes during the development phases
- Customer **feedback** is **not incorporated** until the end stage, leading to potential misalignment with the customer expectations

Waterfall Model



Waterfall Model

- When should be used
 - Large scale projects
 - Safety critical applications
 - Stability is important priority over money and time
 - Requirements are constant
 - High degree of oversight and/or accountability
 - Small projects ?

Prototype Model

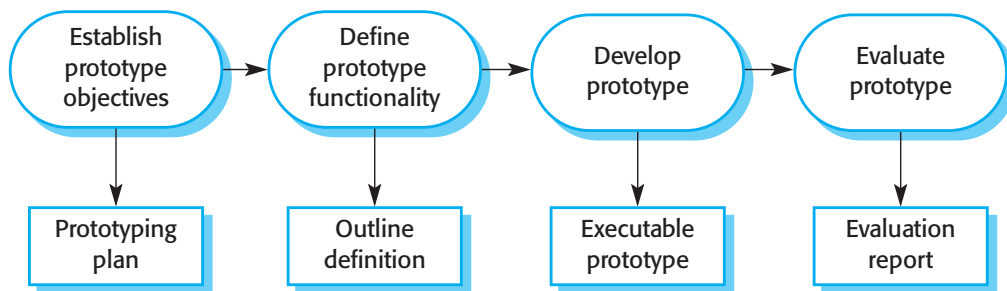
Prototype Model

- The Prototype model involves the creation of a working model of the system early in the development process.
 - Allows for user feedback and involvement
- The model is particularly useful when the requirements are not well understood or are subject to change.

Prototype Model

- Throw-away prototype
 - Learn and Discard
- Evolutionary prototype
 - Build then improve continuously
- Incremental prototype
 - Complete piece by piece
- Extreme prototype
 - Test the risky bit first

Prototype Model



Prototype Model – Advantages

- Early and continuous user involvement
 - leads to a product that better meets user needs and expectations.
- Provides a clear understanding of user requirements
 - helps in refining the system design.
- Identifies design flaws and issues early in the development process
 - reduced rework in later stages.

Prototype Model – Limitations

- The focus on quick development of prototypes may lead to **insufficient** attention to **documentation** and **long-term maintainability**.
- The iterative nature of the model can lead to scope creep and project delays if not managed effectively.

Prototype Model



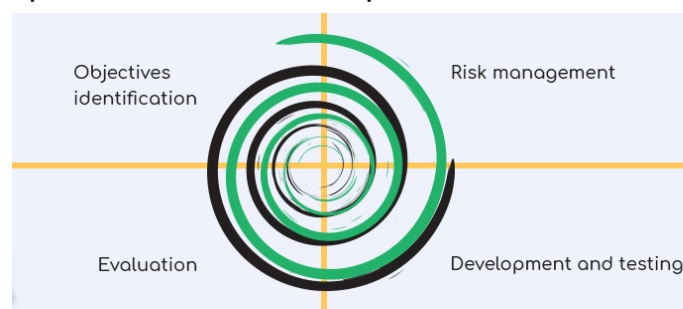
Spiral Model

Spiral Model – Meta Model

- The Spiral model is a **risk-driven** model that combines elements of the Waterfall and Iterative and Incremental models.
 - It is more flexible than the Waterfall model and **allows for iterations and risk analysis** at each phase
 - The model involves a series of incremental releases, with each release adding more functionality.

Spiral Model

- Objectives determination and identify alternative solutions
- Identify and resolve Risks
- Develop next version of the product
- Review and plan for the next phase



CS360 Spring 2026

LUMS

21

Spiral Model – Advantages

- Incorporates **risk analysis** and management throughout the development process
 - Flexibility to accommodate changes and address issues identified in earlier iterations.
- **Highly customized product** based on **customer feedback** and evolving requirements.

CS360 Spring 2026

LUMS

22

Spiral Model – Limitations

- **Complex** and time-consuming
- **Costly**, especially if the project involves frequent changes and iterations.
- Requires a **high level of expertise** to effectively manage the risk analysis

Spiral Model



V Model

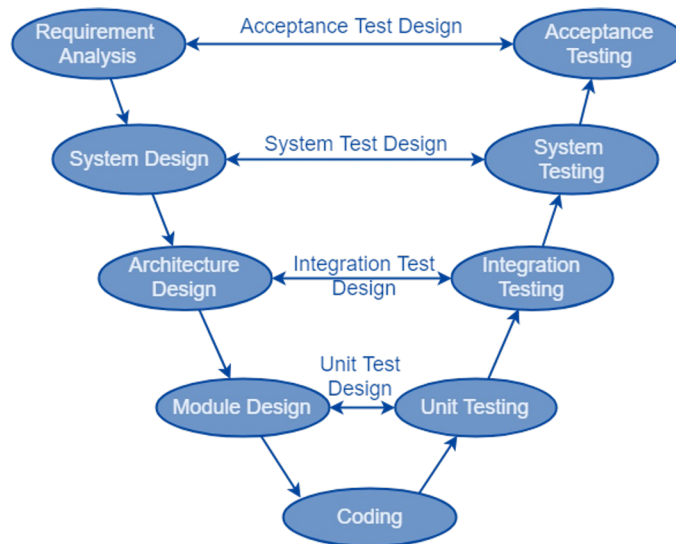
25

V-model

- An extension of the Waterfall model and emphasizes the verification and validation of each phase.
 - The model highlights the relationship between each development phase and its associated testing phase.
- It involves the creation of a verification and validation plan for each phase of the development process.

26

V Model



CS360 Spring 2026

LUMS

27

V-Model – Advantages

- Clear and well-defined **verification and validation processes** ensure high-quality deliverables.
- Early **identification and resolution of defects** due to the parallel development and testing phases.

CS360 Spring 2026

LUMS

28

V-Model – Limitations

- Lack of flexibility to accommodate changes and evolving requirements during the development process.
 - May not be suitable for projects with a high degree of uncertainty and rapidly changing requirements.
- Testing activities are heavily front-loaded, leading to potential delays in the development process.

V-Model



V Model

- Sequential
- No feedback form customer
- No prototype
- Good for test-centric applications
- Rigid model, each phase has specific deliverables
- Proactive bug tracking

When to use?

- | | |
|---|--|
| <ul style="list-style-type: none"> • Waterfall <ul style="list-style-type: none"> – Large-scale projects – Small project – Requirements are fixed – Product definition is stable – Ample resources are available | <ul style="list-style-type: none"> • Prototype <ul style="list-style-type: none"> – Ambiguous requirements – Customer feedback is important – Unclear business needs – Long-term project |
|---|--|

When to use?

- Spiral
 - Ambiguous requirements
 - Unclear business needs
 - Complex requirements and constant evaluation
 - Risk assessment
 - Long-term project
- V Model
 - Test driven development
 - Military or defense systems
 - Clear requirements

Use Cases for Plan-Driven Models

- Safety critical applications
- Stability is important priority over money and time
- Requirements are constant, but can be vague
- High degree of oversight and/or accountability

Software Process Models - Summary

- **Waterfall:** A sequential approach, where each fundamental activity of a process represented as a separate phase, arranged in **linear order**.
- **Prototyping:** A version of a system or part **developed quickly** to check the customer's requirements or feasibility of some design decisions. In prototyping, the **client is involved** throughout the development process.
- **Incremental Development:** developing an **initial implementation**, exposing this to **user feedback**, and **evolving it through several versions** until an acceptable system has been developed.

CS360 Spring 2026

LUMS

35

Software Process Models – Summary

- **Spiral:** a risk-driven where the process is represented as **spiral rather than a sequence of activities**. Best features from the waterfall and prototyping models, and introduces a new component; **risk-assessment**. Each loop represents a phase.
- **V Model:** Test driven development model aims to develop a system that is validated and verified in each of the software process activity

CS360 Spring 2026

LUMS

36

Suitable Process Model?

- A complex real-time system whose requirements can be relatively easily identified and are stable
- An order processing system with a web-site for a local business. Requirements are vague but stable (i.e. unlikely to change in the near future)
- A payroll system for the company. Requirements of the system are well defined.

Suitable Process Model

A human life is at stake and a system failure could result in fatalities.

Desired system needs to have a lot of interaction with the end users to get the clarity on requirements.

Projects with an extremely high degree of oversight and/or accountability such as those in the sectors of banking, healthcare and control systems for nuclear facilities

New product line (Significant changes are expected, involves research and exploration)

Suitable Process Model

- A system to track the position of trains to maximize the utilization of rail-tracks and monitor the same. System should be tested enough to avoid placing human lives on the line.

Thank you!